Applicant: Ralph H. Johnson et al.

Examiner:

Serial No.: 10/617,892

Group Art Unit:

Filed: July 11, 2003

For: VERSATILE METHOD AND SYSTEM FOR SINGLE MODE VCSELS

Docket No.: H26341-D2 (1139.1124104)

### **INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))**

Assistant Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States

Postal Service on the date shown below with sufficient postage as hist class mail in an envelope addressed to the:

Commissioner for Patents, PO Box 1450, Alexandria VA 22313-1450,

on this 15th day of September 12003.

on this 15th day or September , 2003

By:

Brian N. Tufte

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested the Examiner return a copy of the attached Form 1449,

marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Attached is a copy of the 1449 filed in parent application serial no. \_\_09/724,820 \_.

This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. 09/724,820, filed on November 28, 2000. The references not provided but listed on PTO-Form 1449 were previously submitted in the above-referenced prior application and, therefore, are not required to be provided on this application under 37 CFR §1.98(d).

Also attached is a Form PTO 1449 citing additional references for consideration by the Examiner.

Respectfully submitted,

Ralph H. Johnson et al.

By their attorney

Dated: Softman 15

Brian N. Tufte, Reg. No.

CROMPTON, SHAGER & TUFTE, LLC

1221 Nicollet Avenue, Suite 800 Minneapolis, MN 55403-2402

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Facsimile:

#### FORM PTO-1449 (Additional references) Atty. Docket No.: Serial No.: SEP 2 2 2003 H26342 D2 10/617,892 Page 1 of 1 (1139.1124104)LIST OF PATENTS AND PUBLICATIONS FO Applicant: Ralph H. Johnson et al. APPLICANT'S INFORMATION DISCLOSURE STATEMENT Filing Date Group Art: July 11, 2003 unknown

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**EXAMINER:** 

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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